



# DEPARTMENT OF THE INTERIOR U.S. Fish and Wildlife Service



Division of International Conservation  
Wildlife Without Borders Programs

## Great Ape Conservation Fund

Catalog of Federal Domestic  
Assistance (CFDA):15.629

## Notice of Funding Availability & Application Instructions Fiscal Year 2013

Funding Opportunity Title: Great Apes Conservation Fund FY13

Announcement Type: New Applications

Funding Opportunity Number: F12AS00423

**Submission Deadline: December 1**

### Agency Contacts

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*Failure to register in the System for Award Management (SAM) and provide complete information, as outlined below, may cause delays, postponement, or rejection of a grant application.*

## I. DESCRIPTION OF FUNDING OPPORTUNITY

The Great Ape Conservation Fund is soliciting project proposals for the conservation of apes [gorillas (*Gorilla gorilla*); chimpanzees (*Pan troglodytes*); bonobos (*Pan paniscus*); orangutans (*Pongo spp.*); and gibbons (family *Hylobatidae*)] throughout their ranges. The U.S. Government enacted the Great Ape Conservation Act of 2000 in response to the decline of ape populations in Africa and Asia. The Act provides for the conservation and protection of apes by supporting conservation programs of countries within the range of apes, and the projects of persons with demonstrated expertise in the conservation of apes.

The Great Ape Conservation Fund supports projects that promote conservation through:

- Applied research on ape populations and their habitats, including surveys and monitoring;
- Development and execution of ape conservation management plans;
- Compliance with applicable treaties and laws that prohibit or regulate the taking or trade of ape or regulate the use and management of ape habitat;
- Conservation education and community outreach;
- Enhanced protection of at-risk ape populations;
- Efforts to decrease human-ape conflicts;
- Habitat conservation and management;
- Protected area/reserve management in important ape range;
- Strengthening local capacity to implement conservation programs;
- Transfrontier ape conservation; and
- Wildlife inspection, law enforcement, and forensics skills.

Proposed project work should occur within the ape range, or, if work is to be conducted outside of the range, the proposal should show a clear relevance to ape conservation. Applied research projects should address specific management needs and actions, and threats from emerging issues such as disease and climate change that affect the species and their habitats.

## II. AWARD INFORMATION

*Please note that this information differs between applicants for Africa and Asia*

### Asia Award Information

Due to the limited funds available, proposals requesting less than \$50,000 USD and demonstrating in-kind or financial matching support have a higher likelihood of being selected. Higher amounts may be requested with appropriate justification. Although the period of performance for projects funded under this program is typically one year, there are no restrictions on funding proposals for activities exceeding one year. The period of performance for all funded projects begins on the date the award is signed by the USFWS. Past and present recipients of awards under this Fund are eligible but must submit new proposals to compete for funding each year. This Fund uses grant agreements as the assistance instrument.

### Africa Award Information

This program uses grant and cooperative agreements as assistance instruments. The type of assistance instrument to be used is the decision of USFWS.

#### *Grant Awards*

The criteria for funding levels, matching in-kind or financial support, period of performance, and the requirement for new proposal for past and present recipients of awards are the same as under Grant Awards. Due to the limited funds available, proposals requesting less than \$50,000 USD and demonstrating in-kind or financial matching support have a higher likelihood of being selected. Higher amounts may be requested with appropriate justification for the following Congo Basin countries: Central African Republic, Cameroon, Democratic Republic of the Congo, Equatorial Guinea, Republic of the Congo, Rwanda, and Gabon. Higher amounts may also be requested with appropriate justification for projects in the Sapo-Tai Complex of Liberia & Côte d'Ivoire, the Gola Forest region of Sierra Leone & Liberia, and throughout the Cross-River gorilla range of Nigeria & Cameroon. The period of performance for projects awarded may be up to two years, starting on the date the award is signed by the USFWS. Past and present recipients of awards under this Fund are eligible but must submit new proposals to compete for funding each year.

### *Cooperative Agreements*

For cooperative agreements, substantive involvement by the USFWS should be expected and may include collaborating jointly with the recipient partner in carrying out the scope of work, drafting the proposal and offering technical assistance. To submit a proposal for consideration as a cooperative agreement, provide a justification statement in the project proposal as to the type and duration of assistance requested of the USFWS and a rationale for why involvement of USFWS is needed to fulfill project objectives. For more information, please contact the Great Apes – Africa Program Officer.

## III. ELIGIBILITY INFORMATION

**Eligible Applicants:** Any government agency responsible for conservation and protection of apes and any other organization, multi-national secretariat, or individual with demonstrated experience in ape conservation may submit proposals to this Fund. U.S. non-profit, non-governmental organizations must submit documentary evidence of their Section 501(c)(3) non-profit status.

**Ineligible Activities:** The Division of International Conservation will not fund:

- the purchase of firearms or ammunitions;
- buying intelligence information or paying informants;
- gathering information by persons who conceal their true identity;
- law enforcement operations that, to arrest suspects, prompt them to carry out illegal activities (entrapment);
- any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country of proposed activity;
- material support or resources to individuals, entities, or organizations of countries that the U.S. Department of State has identified as state sponsors of terrorism. These countries are: Cuba, Iran, Sudan and Syria. (Exceptions may be allowed with clearance from U.S. Department of State.)

**Applicant and Partner Contributions:** Applicants and/or other project partners must contribute funds to the project, which may include cash or in-kind contributions. Applicant and partner contributions cannot be included as contributions for any other federally assisted project or program. Funds provided by another U.S. Federal Government agency or another USFWS award cannot be reported as matching contributions but should be noted and explained in detail. Only verifiable contributions should be included as match. A match shown during one year may not be repeated as a match in a subsequent proposal.

## IV. APPLICATION AND SUBMISSION INFORMATION

*Failure to register in the System for Award Management (SAM) and provide complete information, as outlined below, may cause delays, postponement, or rejection of a grant application.*

**SUBMISSION DEADLINES:** **December 1** is the deadline for applications. A confirmation e-mail will be sent out to applicants once their submission has been received. Preference will be given to proposals received by the deadline but USFWS reserves the right to consider proposals outside of the application period in the case of emergency situations.

### 1. APPLICATION FORMAT AND CONTENT

You must submit your proposal in English. Proposals should be ten pages or less. Figures, tables, maps, and required forms do not count toward the ten-page limit.

Your proposal should include these elements:

#### **A. Application Cover Page**

Complete the Application Cover Page located at:

[http://www.fws.gov/international/dic/pdf/Cover\\_Page.pdf](http://www.fws.gov/international/dic/pdf/Cover_Page.pdf) according to the instructions on page 3 of the form.

## B. Project Summary

BRIEFLY summarize your project in one page or less. This section should be a stand-alone summary of your project. It may or may not be shared with the public. Include the title of the project, geographic location, and a brief overview of the need for the project. Goal(s), objectives, specific project activities, beneficiaries and expected products can also be included in this section.

## C. Project Narrative

- 1. Statement of Need:** Describe why this project is necessary and include supporting information. The statement of need should include: the targeted species, a prioritized list of threats adversely impacting the targeted species at the project site, the specific activities and threats the project will address, and the indicators used to measure the conservation impact of the proposed activities. In addition, summarize previous or on-going efforts (of your organization as well as other international, national, regional and/or local organizations or individuals) that are relevant to the proposed work. Former recipients of USFWS support should remember that every proposal must be a stand-alone document. Not all proposal reviewers may be familiar with past grants. All previous USFWS support to the applicant for the project site must be stated, including the amount of the award, project goal, time period, and award number. Last, it is advised projects address priority activities identified in an IUCN species action plan, when available. To obtain action plans for chimpanzees, gorillas, and bonobos, please visit the IUCN Section on Great Ape's website: <http://www.primate-sg.org/action.plans.htm>
- 2. Project Goal and Objectives:** State the long-term goal of what you want to achieve. Objectives are the specific steps that you will take to reach your stated goals. Your objectives must be **attainable within the project period** and should be specific, capable of being measured, realistic, and results-orientated. Objectives should form the basis for the project's monitoring and evaluation section, described later in the proposal.
- 3. Project Activities, Methods and Timetable:** State the proposed project activities and describe how they relate to the objectives. Provide a detailed description of the methods for each activity. This section should also include the equipment used/requested and personnel conducting the work.

Please review the Best Practices Guidelines for Great Ape conservation published by the IUCN. Proposals should follow these guidelines where applicable to the project's stated activities. Best Practices Guidelines can be found at: <http://www.primate-sg.org/best.practices.htm>. Provide a timetable indicating roughly when activities or project milestones will be accomplished. Include any tables, spreadsheets or flowcharts within the body of the narrative (DO NOT include separate attachments). The timetable should not propose specific dates but rather group activities for each month over the proposed performance period. To view a sample project timetable go to: [http://www.fws.gov/international/DIC/pdf/Sample\\_timetable.pdf](http://www.fws.gov/international/DIC/pdf/Sample_timetable.pdf)

- 4. Stakeholder Coordination/Involvement:** Describe how you have coordinated with and involved local resource managers and other relevant organizations or individuals in planning your project, and how they will be involved in conducting project activities and disseminating project results.
- 5. Project Monitoring and Evaluation:** This section should answer the question, "How will we know that the project is working successfully?" Project monitoring and evaluation involves two components: (1) ensuring project implementation by documenting anticipated outputs (also known as products), and (2) measuring the conservation outcomes (also known as impacts) of project activities.
  - (1) Anticipated Outputs:** Identify all expected project outputs, also known as products (e.g., management plans, brochures, posters, training manuals, number of people trained, workshops held, hours of training provided, patrols conducted). Where appropriate, describe how outputs will be distributed to the relevant stakeholders, such as host country government agencies, resource managers, local communities, media, and civil society.
  - (2) Conservation Outcomes:** Conservation outcomes are the desired impacts of a project, such as a change in capacity, threat, or condition of a species or habitat, and should relate directly to your objectives. To track your progress toward achievement of each project objective, identify what you will measure (i.e., **indicators**), and how you will measure it (i.e., methods, sample sizes, survey tools). For example:

- For education and outreach, include examples of questionnaires or behavioral surveys that you will employ to measure how knowledge, skills, attitudes, and behaviors were affected by your project.
- For training, assessment tools like exams or tests should be described and benchmarks for passing the training program should be stated.
- For wildlife protection activities, you may wish to measure patrol days, person-hours, or prosecutions secured.
- For reintroduction or veterinary programs, measures of success may focus on the number of animals moved or treated, survivorship, or overall population numbers.
- Where appropriate, include direct measures of abundance or spatial extent for the focal species, population, or habitat to demonstrate the impact of project activities.

The USFWS values projects that report both the success and failures of efforts as a means by which an applicant can improve their performance and provide lessons learned to improve our efforts to conserve wildlife.

6. **Sustainability:** This section should answer the question, “What is your long-term plan for this project beyond the USFWS funding period?” Describe which project activities will continue beyond the time period described in your proposal, who will continue the work or act on the results you have achieved, and any ideas you have for future funding.
7. **Description of Organization(s) Undertaking the Project:** Provide a brief description of the applicant organization and all cooperating organizations and agencies. State the activities for which each group or individual is responsible. Provide brief (1-2 pages) *curricula vitae* for key personnel, identifying their qualifications to meet the project objectives. DO NOT include Social Security numbers, the names of family members, or any other personal or sensitive information including marital status, religion or physical characteristics.

## 8. Project Budget Table

Things to consider when developing your Budget Table:

- **Federally Funded Equipment:** If the U.S. Federal Government has paid for equipment for another award, applicants cannot claim it to be a matching or in-kind contribution and SHOULD NOT include it in the budget table. Instead, provide a separate list of any equipment paid for by the U.S. Federal Government that will be used for the project, including the name of the Federal agency that paid for the equipment.
- **Indirect Costs:** We encourage applicants to keep indirect costs to a minimum. Applicants with Negotiated Indirect Cost Rate Agreements (NICRA) must include a copy with their proposal. If they so choose, applicants with NICRA rates may request less than their negotiated rate and/or contribute indirect costs as a match.
- **Program Income:** Your project may include activities that will generate program income. Program income earned as a result of activities supported with Federal funding includes, but is not limited to the following: income from fees for services, the use or rental of property, the sale of commodities or fabricated items, license fees and royalties on patents and copyrights, and interest on loans. Program income does not include interest earned on advances of Federal funds. Under this Fund, income earned during the project period of an approved award shall be retained by the recipient and used in at least one of the following ways:
  1. added to the funds requested from the USFWS for the project in addition to those committed by the recipient/other partners, and later used to conduct additional activities that will further the project objectives; OR
  2. used to finance the non-Federal share of the project.

If your project will generate program income, provide: (1) a description of how the income will be generated, (2) an estimate of how much income will be made during the project duration, and (3) a description of how the funds will be used. If the income is to be used to conduct additional activities, you

must include a Program Income column in your budget table and include all cost categories/items and associated amounts that the program income will cover.

**Instructions for Budget Table: see *Indirect Costs***

Begin your project budget table on a new page. The budget table should include a column for all cost categories/items for the project, one column to show the cost calculation, a column for the total costs, one column for the requested USFWS funding, one or more columns for applicant and partner contributions, and, if applicable, a column for any program income that will be used to conduct project activities, as demonstrated here:

Category/ Budget Item**	Cost Calculation*	TOTAL COST	USFWS	"Applicant"	"Partner X"	"Partner Y"	Program Income (if applicable)
<b>Totals</b>							

\*Present all amounts in U.S. dollars

\*\*We cannot accept the term "contingencies" in the budget as a line item

Go to <http://www.fws.gov/international/dicprograms/Sample%20Budget.pdf> to view a sample project budget table.

The budget table should provide enough information for reviewers to be able to understand the cost basis and calculation at a glance. For example, a \$3,300 line item for lodging costs should include the formula for how the cost was calculated: *Lodging for 20 nights x 11 people x \$15/night = \$3,300*. Wherever possible, cost calculations should be included in the Project Budget Table, but where necessary, additional description should be provided in the Budget Justifications. If the budget table requires more than one page, verify that column headings and row titles appear on all pages.

9. **Budget Justifications:** Justify or explain all requested budget items/costs. Demonstrate a clear connection to project activities, and show how line item amounts were determined. For expensive items or large single purchases, provide detailed technical specifications or a pro-forma invoice. Requests for personnel salary should be well documented, including the base-line salary figure and the estimate of time (percent) to be directly charged to the project. Assistance to cover personnel salaries is generally given a lower priority.
10. **Governmental Endorsement:** Non-governmental applicants must include a RECENT letter of support (no older than two years) from the appropriate local, regional, or national government wildlife or conservation authority. Endorsement letters should make specific reference to the project by its title, as submitted on the applicant's proposal.
11. **Map:** This section should answer the question, "Where is this project located?" Provide a map showing the location of your project site at the regional or national level. Ensure that the sites that you have referred to in the proposal are labeled on the map.

Provide the latitude and longitude coordinates of a representative geographic location for your project in decimal degrees format (NOT degree-minute-seconds format). For example, USFWS in Arlington, Virginia, USA, would be provided as 38.8825, -77.1145 (latitude, longitude). Please correctly enter north and east coordinates as positive values, and south and west coordinates as negative values.

## 2. PROPOSAL APPLICATION CHECKLIST

ALL PROPOSALS MUST INCLUDE:

- ☐ Signed Grant Application Cover Page (MUST be signed by authorized representative)
- ☐ DUNS Number
- ☐ System for Award Management (SAM) registration

- ☐ Project Summary (1 page)
- ☐ Project Narrative
- ☐ Timetable
- ☐ Budget Table
- ☐ Budget Justification
- ☐ *Curricula vitae* (1-2 pages) for key personnel
- ☐ Recent Letter of Governmental Endorsement
- ☐ Map
- ☐ Audit Report (Only necessary if your organization has expended more than \$500,000 of Federal assistance per year. For more information go to: <http://harvester.census.gov/sac/>)
- ☐ Foreign Contribution (Regulation) Act (India only)

**PROPOSALS FROM U.S. APPLICANTS MUST ALSO INCLUDE:**

- ☐ A complete, signed Standard Form 424-Application for Federal Assistance <http://www.fws.gov/international/DICprograms/sf%20424.pdf>
- ☐ A complete Standard Form 424b-Assurances <http://www.fws.gov/international/DICprograms/sf%20424b.pdf>
- ☐ Grants.gov registration
- ☐ Documentary evidence of Section 501(c)(3) non-profit status, if applicable
- ☐ A copy of your organization's Negotiated Indirect Cost Rate Agreement, if applicable

### 3. SUBMISSION INSTRUCTIONS

**A. REGISTRATION: Before submitting a proposal, you must be registered in the following databases (registration may take several weeks for each):**

**Dun & Bradstreet Universal Number System (DUNS):** U.S. Government-wide policy requires that all applicants, organizations and individuals, both domestic and non-domestic, apply for, and include, a nine-digit Dun & Bradstreet Data Universal Number System (DUNS) on their proposal. Applicants without a DUNS number should go to <http://fedgov.dnb.com/webform>. Applicants who already have a DUNS number are responsible for updating changes to their address or business name with Dun and Bradstreet directly. *The organizational address (Grant Administrator) must match the address in Dun & Bradstreet's system.*

**System for Award Management (SAM):** All foreign and domestic applicants MUST be registered in the SAM database to apply for funding. SAM is used by the U.S. Government as the repository for standard information about applicants and recipients of federal funds. SAM interfaces with the U.S. Fish and Wildlife grants management database and financial systems, therefore all applicants must be registered with in SAM to receive an award. Recipients must maintain an active SAM registration with current information at all times during the project period. For information on registration and exemptions go to: <https://www.sam.gov/portal/public/SAM/>

**Grants.gov:** Domestic Applicants MUST apply through Grants.gov. If you do not have an account, you must register. Go to: [http://www.grants.gov/applicants/get\\_registered.jsp](http://www.grants.gov/applicants/get_registered.jsp).

**B. SUBMISSION**

**Domestic Applicants:** You must apply through Grants.gov. Your entire proposal including the cover page MUST be uploaded as a SINGLE pdf file. Attach your file on the Attachment Form. For further instructions, see above.

**Non-Domestic Applicants:** May submit through Grants.gov or e-mail

- Grants.gov: See above
- E-mail: All documents must be printable on letter paper (8 1/2" x 11"). Format all pages to display and print page numbers. We prefer to receive the entire proposal as a SINGLE file attachment. If you need to submit



separate attachments please try to send them all together in a single e-mail message. If your files are too big for a single e-mail, please number your e-mails and attachments so that we know the order of your submissions. E-mail your proposal to the USFWS at [MSCF\\_GreatApe@fws.gov](mailto:MSCF_GreatApe@fws.gov)

## V. APPLICATION REVIEW

The USFWS may solicit advice from qualified experts to conduct a technical review of your proposed project. The USFWS may also discuss your proposal with known past and present partners to reduce the potential for waste, fraud and abuse and to encourage coordination and collaboration among projects on the ground.

**Review Criteria:** To be considered for funding, proposals must address one or more of this program's priority areas, listed above in the Description of Funding Opportunity. The Division of International Conservation ranks proposals by scoring how well each proposal addresses the program priority areas and the requested elements listed in the Application and Format section above. High priority, well-justified projects that address all of the requested proposal elements will receive higher scores. These scores are not the sole determining factor for final funding decisions. Other review criteria include considering the degree to which a project will:

- Make a significant contribution to the protection, maintenance and/or enhancement of important populations of the target species and/or their habitats;
- Act as a catalyst for activities in a previously neglected area with potential significant conservation value;
- Address an emerging issue with potential significant conservation value;
- Utilize requested funds in an efficient, cost-effective manner to accomplish project objectives;
- Complement activities of other organizations/individuals in a manner which will lead to efficient, effective conservation of the resource;
- Conduct activities that do not duplicate other ongoing activities;
- Address a conservation need identified by a specialist group, or by a regional, national or global strategy;
- Conduct activities that will be harmonious with international, national and/or regional conservation priorities, action plans and/or strategies;
- Implement an important element of a larger scale/scope project that would provide synergetic value;
- Apply the best scientific and technical information available in support of project activities;
- Provide training essential to the development of local capacity to implement conservation activities;
- Provide project management experience to local personnel, strengthening the local capacity to manage conservation programs;
- Include the participation of local people in the project activities, or otherwise contribute to local empowerment;
- Promote networking, partnerships and/or coalitions;
- Develop a demonstration activity that can be replicated for widespread use;
- Implement activities or provide benefits that have the potential to be sustained beyond the life of the grant;
- Maintain a positive track record with USFWS based on previous grant support, if applicable, including timely submission of financial and performance reports and a summary of major activities and accomplishments of previous USFWS support in the Statement of Need section.

The above considerations are not listed in any order of importance. All considerations listed do not necessarily apply to every proposal. Following review, applicants may be asked to revise the project scope and/or budget before a final funding decision can be made. The Division of International Conservation occasionally receives and funds proposals outside of the announced submission deadlines that are of such a critical nature that they warrant immediate consideration (e.g., projects resulting from a natural disaster, disease outbreak, other imminent threats or population crash, among others).

## VI. AWARD ADMINISTRATION

**Award Notices:** Successful applicants will be e-mailed written notice in the form of an Assistance Award document, along with an enrollment form for the Automated Standard Application for Payments (ASAP) for their banking information. Applicants whose projects are not selected for funding will receive an e-mail notice within 180 days of their proposal submission.



**Administrative and National Policy Requirements:** Go to <http://www.fws.gov/international/DIC/pdf/aag.pdf> to review the Division of International Conservation's *Assistance Award Guidelines*, which details the policies, terms and conditions applicable to awards made under this program.

**Domestic registrants:** Payments will be issued through U.S. Department of Treasury via the self-initiated electronic drawdown system, Automated Standard Application for Payments (ASAP). To view instructions and initiate ASAP enrollment, go to: <http://www.fws.gov/fbms/>

**Non-Domestic registrants:** Payments to non-domestic grantees are transferred electronically through the U.S. Treasury's International Treasury Services (ITS) system. To receive funding, you must submit a signed Standard Form-270, Request for Advance or Reimbursement (SF-270.) This form is available at <http://www.whitehouse.gov/omb/grants/sf270.pdf> You must also complete an ITS Payment Cover Sheet which will be included in your award notification email. Be sure your account numbers and banking information is accurate. Email both your completed ITS Payment Cover Sheet and your signed SF-270 to the appropriate FWS program located in Section 4 of the ITS Payment Cover Sheet.

**Reporting:** Grantees are required to submit a mid-term and a final report that conform to USFWS reporting requirements as described in detail in the Assistance Award Guidelines  
<http://www.fws.gov/international/DIC/pdf/aag.pdf>

**Branding:** All materials (presentations, reports, large equipment purchases, infrastructure, awards, and other items as deemed relevant) produced under any award intended for distribution must acknowledge the support of USFWS by showing the USFWS logo. Photo-ready and vector format logos are available upon request from the USFWS Program Officer.

## VII. AGENCY CONTACTS

### **Great Apes - Asia:**

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**PAPERWORK REDUCTION ACT STATEMENT:** The Paperwork Reduction Act requires us to tell you why we are collecting this information, how we will use it, and whether or not you have to respond. Your response is required to receive funding. A Federal agency may not conduct or sponsor and you are not required to respond to a collection of information unless it displays a currently valid OMB control number. We estimate that it will take an average of 22 hours to complete the application and 40 hours to complete a report. These burden estimates include time for reviewing instructions and gathering data. OMB has approved this collection and assigned OMB Control No. 1018-0123, which expires 05/31/2011. The burden estimates do not include the time needed to complete Standard Forms associated with the application and financial reports. You may send comments concerning the burden estimates or any aspect of this information collection to the Information Collection Clearance Officer, U.S. Fish and Wildlife Service, 4401 North Fairfax Drive, Mailstop 222, Arlington, VA 22203.